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PATENT  
Attorney Docket No. SDT-036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Juels et al.  
SERIAL NO.: 09/496,824 GROUP NO.: 2766  
FILING DATE: February 2, 2000 EXAMINER: Not Yet Assigned  
TITLE: Cryptographic Countermeasures Against Connection Depletion Attacks

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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits; or
- (2) after the period defined in (1) but before the mailing date of a **Final Rejection** or **Notice of Allowance**, and
  - the requisite Statement is below, **OR**
  - the requisite fee under Rule 1.17(p), namely **\$240.00**, is included herein, or
- (3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**
  - Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**
  - the requisite Statement is below, **AND**

the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

**STATEMENT**

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- 1. [E]ach item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Information Disclosure Statement; or
- 2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any** individual designated in §1.56(c) **more than** three months prior to the filing of the Information Disclosure Statement.

**FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,

  
R. Stephen Rosenholm  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
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Date: September 27, 2000  
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PATENT  
Attorney Docket No. SET-036  
(7216/51)

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TITLE: Cryptographic Countermeasures Against Connection Depletion Attacks

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on September 27, 2000.

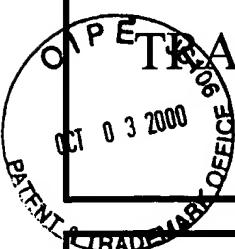
Debbie Washburn  
Debbie Washburn

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Information Disclosure Statement (2 pages);
- (3) Form PTO-1449 (2 pages);
- (4) Copies of cited references CA-CT (20 references); and a
- (8) Return Receipt Postcard.



|                  |                           |                   |
|------------------|---------------------------|-------------------|
| TRANSMITTAL FORM | Application Serial Number | 09/496,824        |
|                  | Filing Date               | February 2, 2000  |
|                  | First Named Inventor      | Juels             |
|                  | Group Art Unit            | 2766              |
|                  | Examiner Name             | Not Yet Assigned  |
|                  | Attorney Docket No.       | SDT-036 (7216/51) |

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| ENCLOSURES (check all that apply)   |   |   |  |
|---|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form   | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><br><input type="checkbox"/> Formal Drawing(s)   | <input type="checkbox"/> Appeal Communications Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)                  |  |
| <input type="checkbox"/> Amendment/Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) | <input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 |  |
| <input type="checkbox"/> Extension of Time Request  | <input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  | <input type="checkbox"/> Additional Enclosure(s) (please identify below)  |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement Form PTO-1449<br><input checked="" type="checkbox"/> Copies of IDS Citations (CA-CT)   | <input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> Request for Refund  |   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   | <input type="checkbox"/> After Allowance Communication to Group   |   |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application   |   |   |  |

| CORRESPONDENCE ADDRESS   | SIGNATURE BLOCK   |
|--|---|
| Direct all correspondence to: Patent Administrator<br>Testa, Hurwitz & Thibeault, LLP<br>High Street Tower<br>125 High Street<br>Boston, MA 02110<br>Tel. No.: (617) 248-7000<br>Fax No.: (617) 248-7100 | <p>Respectfully submitted,</p> <p></p> <p>R. Stephen Rosenholm<br/>Attorney for Applicants<br/>Testa, Hurwitz &amp; Thibeault, LLP<br/>High Street Tower<br/>125 High Street<br/>Boston, MA 02110</p> |